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# How Not to Write a Good Systems Paper

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## 为什么第一节课讲?

- 系统是一门综合性的研究方向
  - 脏活/累活(如何出彩?)
  
- 可以指导系统方向的读研究生涯



## 论文分类

- 描述一个实际系统.
  - a global survey of an entire system.
  - a selective examination of specific themes embodied in the system.
- 描述一个未实现的系统.
  - utilizes ideas or techniques that you feel the technical community should know.
- 理论研究领域的某个主题.
  - performance modelling or security verification.



## 论文评估准则

- **Original Ideas (新概念系统)**
- **Reality**
- **Lessons**
- **Choices**
- **Context**
- **Focus**
- **Presentation**
- **Writing Style**



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Original idea



## Idea足够新吗？

- 至少一个新idea!
- There is no point in submitting a paper to a conference or journal concerned with original work unless the paper contains at least one new idea.



## 如何确认你的工作是否有创新？

- 你必须熟悉 **state-of-the-art**和**state-of-the-practice**.
- Perhaps the most common failing among the submissions in the first category (real systems) was **an absence of new ideas**;
- the systems described were frequently isomorphic to one of **a small number of pioneering systems well-documented in the literature**.



## 能不能简明地说清楚你的ideas?

- If your paper is to advance the state of knowledge, your reader must be able to find the new ideas and understand them.
- Try writing each idea down in a paragraph that someone generally versed in the relevant area can understand.
  - **If you can't, consider the possibility that you don't really understand the idea yourself.**
- When you have the paragraphs, use them in the abstract for the paper.





## 确切地描述你要解决的问题

- Your reader cannot be expected to guess the problem you faced given only a description of the solution.
- **Be specific.**
- Be sure to explain why your problem couldn't be solved just as well by previously published techniques.



## 值得写长篇大论吗？

- Frequently, papers describing real systems contain one or two small enhancements of established techniques.
  - The new idea(s) can be described in a few paragraphs; a twenty-page paper is unnecessary and often obscures the actual innovation.
- Since construction of a real system is a lot of work, the author of the paper sometimes **unconsciously confuses the total effort with the work that is actually new.**
  - ("My team worked on this system for two years and we're finally done. Let's tell the world how wonderful it is.")
- If the innovation is small, a small paper or technical note in a suitable journal is more appropriate than an SOSP submission.



## 是否和相关工作有显著差异？

- An obvious extension to a previously published algorithm, technique, or system, does not generally warrant publication.
- You must show that your work represents **a significant departure from the state of the art**.
- If you can't, you should ask yourself why you are writing the paper
  - Why anyone except **your mother** should want to read it.



## 论文引用的重要性

- You will have difficulty convincing the *skeptical* reader of the originality of your efforts unless you specifically distinguish it from previously published work.
  - This requires citation.
- Furthermore, you will find it harder to convince your reader of the superiority of your approach if he has read the cited works and you haven't.



## 论文引用需要注意的问题

- The answers to these questions help alert you to blind spots in your knowledge or understanding.
  - What is the oldest paper you referenced? 1960年代?
  - The newest?
  - Have you referenced similar work at another institution?
  - Have you referenced technical reports, unpublished memoranda, personal communications?
- Remember that citations not only acknowledge a debt to others, but also serve as an abbreviation mechanism to spare your reader a complete development from first principles.



## 与已有工作比较是否clear and explicit?

- You cannot simply say: "Our approach differs somewhat from that adopted in the BagOfBits system [3]."
- **Be specific:**
  - "Our virtual memory management approach uses magnetic media rather than punched paper tape as in the BagOfBits system [3], with the expected improvements in transfer rate and janitorial costs."



## 实现的价值

- Implementation experiences supporting or contradicting **a previously published paper design** are extremely valuable and worthy candidates for publication.
- **Designs** are cheap, but **implementations** are expensive.



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**Reality**





## 论文描述的系统是否已经实现？

- Your reader has a right to know at the outset whether the system under discussion is real or not.



## 实现的价值

- 如果系统已经实现,如何使用该系统?
  - What has this usage shown about the practical importance of the ideas?
- A multiple man-year implementation effort does not of itself justify publication of a paper.
- If the implemented system contains new ideas, it is important to explain how they worked out in practice.



## 如何写未实现的设计论文

- If the system hasn't been implemented, do the ideas justify publication now?
  - This can be a difficult question for an author to answer dispassionately, yet any reviewer of the paper will make this judgment.
- It is always tempting to write a design paper describing a new system, then follow it up in a year or two with an "experience" paper.



## 如何写设计论文？

- The successful papers of this genre nearly always include initial experience in the closing sections of the design paper.
- The subsequent experience paper then deals with the lessons learned from longer-term use of the system, frequently in unanticipated ways.
- Reviewers are very skeptical of design-only papers unless there are new ideas of obviously high quality.



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# Lessons



## 从该工作中获得什么收益？

- If you didn't learn anything, it is a reasonable bet that your readers won't either
- You've simply wasted their time and a few trees by publishing your paper.



## What should the reader learn from the paper?

- Spell out the lessons clearly. Many people repeat the mistakes of history because they didn't understand the history book.



## How generally applicable are these lessons?

- Be sure to state clearly the assumptions on which your conclusions rest.
- Be careful of generalizations based on lack of knowledge or experience.
- A particularly common problem in "real system" papers is generalization from a single example.
- **When stating your conclusions, it helps to state the assumptions again.** The reader may not have seen them for fifteen pages and may have forgotten them. You may have also.





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# Choices



## 解释每一个设计与实现选择

- Why were the choices made the way they were?
- 好的论文不仅描述,而且解释.
  - save future researchers from following the same blind alleys.
- You also want to record potentially interesting side-streets you didn't happen to explore.
  - Make sure to state clearly which is which.



## 选择的确切动机

- Did the choices turn out to be right, and, if so, was it for the reasons that motivated them in the first place?
- If not, what lessons have you learned from the experience?
  - How often have you found yourself saying "this works, but for the wrong reason"?
- Many papers present a rational argument from initial assumptions all the way to the finished result when, in fact, the result was obtained by an entirely different path.
  - This kind of "revisionist history" borders on dishonesty and prevents your readers from understanding how research really works.



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# Contexts



## What are the assumptions on which the work is based?

- The skeptical reader is unlikely to accept your arguments unless their premises are stated.
- Make sure you get them all; it's easy to overlook implicit assumptions.



## 前提条件的合理性

- For "unimplemented systems" papers, this amounts to asking whether the assumptions of the design can hope to support a successful implementation.
- Many paper designs are naive about the real characteristics of components they treat abstractly,
- For theoretical studies, it must be clear how the assumptions reflect reality,
  - e.g., failure modes in reliability modelling, classes of security threats in security verification, arrival distributions in queuing systems.



## Formal model

- If a formal model is presented, does it give new information and insights?
- Simply defining a model for its own sake is not very useful.



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# Focus





## 介绍性材料是否多余？

- "Real system" papers are particularly guilty of irrelevant description.
  - For a distributed file systems, the physical characteristics of the connection between computer and communication network are probably not germane.
- Avoid the temptation to describe all major characteristics of your system at the same level of depth.
  - Concentrate instead on the novel or unusual ones that (presumably) will be the focus of the original technical content of the paper.



## 是否包含充足的材料支持读者

- Do not assume that the reader has read every referenced paper within the last week and has them at his fingertips for instant reference.
  - "We adopt the definition of transactions from Brown [4], layering it onto files as described by Green [7, 18], with the notions of record and database introduced by Black [10] and White [12] and later modified by Gray [6]".
  -
- On the other hand, don't burden your reader unnecessarily with lengthy extracts or paraphrases from cited works.



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# Presentation



## 表达需要注意的问题

- Are the ideas organized and presented in a clear and logical way?
- Are terms defined before they are used?



## Are forward references kept to a minimum?

- Readers get annoyed when they repeatedly encounter statements like "Each file consists of a sequence of items, which will be described in detail in a later section".
  - It's all right to ask him to do this once or twice, but only when absolutely necessary.
- Even if you can't afford the digression to explain "item" at this point, give the reader enough information to attach some meaning to the term:
  - "Each file consists of a sequence of items, variable-sized, self-identifying bit sequences whose detailed interpretation will be discussed below under 'Multi-media Files'."
  - Your reader may not yet understand your concept of files completely, but at least he has some glimpse of the direction in which you are leading him.



# Have alternate organizations been considered?

- Theoretical papers, particularly of a mathematical character, are generally easier to organize than papers describing systems.
- The expected sequence of definition, lemma, theorem, example, corollary works well for deductive argument, but poorly for description.
- In "real system" papers, much depends on the intent: global survey or selective treatment.
  - Frequently, difficulties in organization result from the author's unwillingness to commit to either approach.
  - Decide whether you are surveying your system or focusing on a specific aspect and structure the paper accordingly.



# Was an abstract written first?

- Does it communicate the important ideas of the paper?
- Avoid the passive voice and include a simple statement of assumptions and results.
  - "We designed and implemented a user interface following the ideas of Keysworth and discovered that converting the space bar to a toe pedal increases typing speed by 15%. However, accuracy decreased dramatically when we piped rock music instead of Muzak (tm) into the office."
- Leave discussion and argument for the paper. It helps to write the abstract before the, since it focuses your attention on the main ideas you want to convey.



# Is the paper finished?

- Reviewers can often help you to improve your paper, but they can't write it for you.
  - Moreover, they can't be expected to interpolate in sections marked "to be included in the final draft".
- Similarly, in a paper describing a system, a reviewer cannot tolerate the omission of important explanation or justification.
- Omitting sections with a promise to fill them in later is generally unacceptable.





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# Writing Style



## 写作要点

- Is the writing clear and concise?
- Are words spelled and used correctly?
- Are the sentences complete and grammatically correct?
- Are ambiguity, 俚语, and cuteness avoided?



## Presentation的重要性

- If you don't have sufficient concern for your material to correct errors in grammar, spelling, and usage before submitting it for publication, why should you expect a reviewer to read the paper carefully?
- Some reviewers feel that this kind of carelessness is unlikely to be confined to the presentation, and will reject the paper at the first inkling of technical incoherence.
- "Please let me convince you that I have done interesting, publishable work."



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# Summary



## 小结

- These questions can help you write a better technical paper.
- Consult them often as you organize your presentation, write your first draft, and refine your manuscript into its final form.
- Some of these questions address specific problems in "systems" papers; others apply to technical papers in general.
- Writing a good paper is hard work, but you will be rewarded by a broader distribution and greater understanding of your ideas within the community of journal and proceedings readers.



## Reading lists

- Armando's Paper Writing and Presentations Page
- [http://www.eecs.berkeley.edu/~fox/paper\\_writing.html](http://www.eecs.berkeley.edu/~fox/paper_writing.html)



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